

77 Massachusetts Avenue, Building 76-461 Cambridge, Massachusetts 02139

# **Curriculum Vitae**

# EDUCATION

B.A., Biology, University of Rochester, 1973 Ph.D., Anatomy (and Neuroscience), Georgetown University School of Medicine, 1979

# **T**EACHING, **R**ESEARCH, AND **A**DMINISTRATIVE **P**OSITIONS

2012 <b>-</b> pres.	President Emerita, Massachusetts Institute of Technology
2012-2013	Marie Curie Visiting Professor, Harvard University, John F. Kennedy School of Government
2004 <b>-</b> pres.	Professor of Neuroscience, Massachusetts Institute of Technology
2004-2012	President, Massachusetts Institute of Technology
2003-2004	Provost, Yale University
2001-2004	William Edward Gilbert Professor of Neurobiology, Yale University
1998-2002	Dean, Yale University Graduate School of Arts and Sciences
1994-2004	Professor, Yale University School of Medicine, Department of Neurobiology
1991 <b>-</b> 1994	Associate Professor (tenure), Yale University School of Medicine, Section of Neurobiology
1989-1991	Associate Professor (term), Yale University School of Medicine, Section of Neurobiology
1986-1994	Director of Graduate Studies, Yale University School of Medicine, Section of Neurobiology
1985-1989	Assistant Professor, Yale University School of Medicine, Section of Neurobiology
1982-1985	Senior Staff Investigator, Cold Spring Harbor Laboratory
1980-1982	Junior Staff Investigator, Cold Spring Harbor Laboratory
1979-1980	National Institutes of Health (NIH) postdoctoral fellow, University of California, San Francisco,
	Department of Anatomy and Neuroscience Program

## **BOARD MEMBERSHIPS**

Belfer Center for Science and International Affairs, Board of Directors, member, 6/2013-present Boston Symphony Orchestra, Board of Trustees, member, 9/2014-present Carnegie Corporation of New York, Board of Trustees, member, 9/2006–12/2014 Charles Stark Draper Laboratory, Board of Directors, member, 10/2018-present Council on Foreign Relations, Board of Directors, member, 7/2014-present Fidelity Non-Profit Management Foundation, member, 6/2017-present General Electric Company, Board of Directors, member, 12/2006–4/2018 Massachusetts Institute of Technology, Corporation, life member, 7/2012-present Partners HealthCare System, Board of Directors, member, 12/2015-present Qualcomm Incorporated, Board of Directors, member, 7/2012-3/2016 World Economic Forum, Foundation Board, member, 1/2008–9/2012 and 9/2014-5/2016

## **PROFESSIONAL SOCIETIES**

American Academy of Arts and Sciences, Fellow American Association for the Advancement of Science, Fellow Council on Foreign Relations, Life Member Society for Neuroscience, Member

#### **AWARDS AND RECOGNITION**

The Helen Gurley Brown Presidential Summit on Women and Science Keynote Speaker, Dana-Farber Cancer Institute, Boston, Massachusetts, 2018 Doctor of Humane Letters (honoris causa) degree, Georgetown University, 2018 22nd Annual Link Lecture, Institute of Cancer Research and The Royal Marsden, London, United Kingdom, 2018 2017 Celia White Tabor Lecture, Massachusetts General Hospital, Boston, Massachusetts, 2017 Doctor of Science (honoris causa) degree, Northeastern University, 2016 Doctor of Humane Letters (honoris causa) degree, Duke University, 2014 Arnold D. Kates Lecture, Bowdoin College, Brunswick, Maine, 2014 Edwin L. Godkin Lecture, Harvard University Kennedy School of Government, Cambridge, Massachusetts, 2014 Doctor of Science (honoris causa) degree, University of Massachusetts Medical School, 2013 Doctor of Science (honoris causa) degree, University of Rochester, 2013 Pinnacle Award for Lifetime Achievement, Greater Boston Chamber of Commerce, 2013 American Academy of Rome, Carnegie Corporation/Vartan Gregorian Affiliated Fellow, 2012 Association of Alumni and Alumnæ of the Massachusetts Institute of Technology, Honorary Member, 2010 Edison Achievement Award, 2010 Royal Irish Academy, Honorary Member, 2010 Doctor honoris causa degree, University Pierre and Marie Curie, Paris, France, 2010 Doctor honoris causa degree, jointly awarded by the New University of Lisbon, the Technical University of Lisbon, and the University of Porto, Portugal, 2009 America's Best Leaders 2009 honoree, U.S. News & World Report and the Center for Public Leadership, John F. Kennedy School of Government, Harvard University, 2009 Citation Award, Midwest Research Institute, 2009 Doctor of Science (honoris causa) degree, University of Edinburgh, Scotland, 2009 Doctor of Science (honoris causa) degree, Mount Sinai School of Medicine, New York University, 2009 Council on Foreign Relations, Life Member, 2007 Doctor of Science (honoris causa) degree, Brown University, 2006 Doctor of Science (honoris causa) degree, Cold Spring Harbor Laboratory, Watson School of Biological Sciences, 2006 Honorary doctorate degree, Tsinghua University, China, 2006 Amelia Earhart Award, Women's Union, 2005 Golden Plate Award, Academy of Achievement, 2005 American Association for the Advancement of Science, Fellow (neuroscience), 2005 Sheffield Medal, Yale University, 2004 Meliora Citation for Career Achievement, University of Rochester, 2003 Wilbur Lucius Cross Medal, Yale University Graduate School, 2003 M.A. (hon.), Yale University, 1994 Charles Judson Herrick Award, American Association of Anatomists, 1987 Grass Traveling Scientist, Society for Neuroscience, 1987 Esther A. and Joseph Klingenstein Fellowship in the Neurosciences, 1985 PHS Postdoctoral Research Award, National Institutes of Health, 1980

### **PROFESSIONAL AND NATIONAL SERVICE ACTIVITIES**

2/2018-present American Association for the Advancement of Science, chairman 2018
12/2017-present Scientific Advisory Board, Cancer Research Centre of Excellence, London, member
7/2017-present Scientific Advisory Board, Brigham & Women's Hospital, Boston, member
2/2017-2/2018 American Association for the Advancement of Science, president 2017

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1996-2002	Calvin Hill Day Care Center and Kitty Lustman Findling Kindergarten, Board Member
1996-2000	Hereditary Disease Foundation, Scientific Advisory Board, member
1995	Gordon Conference on Neural Plasticity, Co-chair
1994	NeuroImage, Editorial Board, member
1993	Learning and Memory, Editorial Board, member
1992-1996	Neuroscience Protocols, Editorial Board, member
1992-1996	Society for Neuroscience, Councilor
1991-1995	Hereditary Disease Foundation, Scientific Advisory Board, member
1990-1995	Cerebral Cortex, Editorial Board, member
1989-1991	Society for Neuroscience, Short Course on Basics of Molecular Biology for Neurobiologists,
	organizer
1988-1994	Journal of Neuroscience, Editorial Board, member
1988-1992	National Institutes of Health, Study Section, Visual Sciences B, member
1988 - 2002	Haskins Laboratory, Board of Directors, member
1988 - 2002	Yale-New Haven Teachers Institute, University Advisory Council, member
1985 - 1997	Cold Spring Harbor Laboratory, Summer Neurobiology Program, Program Director
1985	Cold Spring Harbor Laboratory, Course on Molecular Cloning of Eukaryotic Genes, participant
1984-1986	Cold Spring Harbor Laboratory, Course on Immunoglobulins: Molecular Probes of the Nervous System, organizer
1983	Society for Neuroscience, Short Course on Molecular Approaches to the Nervous System, organizer
1981	Society for Neuroscience, Short Course on New Approaches in Developmental Neurobiology, instructor
1981	Cold Spring Harbor Laboratory, Course on Neurobiology of the Leech, participant
1070 1000	Cold Spring Horbor Laboratory, Dain Workshop, participant

1979-1980 Cold Spring Harbor Laboratory, Pain Workshop, participant

## **BIBLIOGRAPHY**

#### **Opinion Pieces**

"Our science, our society," Science, February 2, 2018.

"Convergence: The Future of Health," Science, February 10, 2017, coauthored with Phillip Sharp.

"Capitalizing on Convergence for Health Care," *Science*, June 24, 2016, coauthored with Phillip Sharp and Tyler Jacks.

"A New Century's New Technologies," Project Syndicate, January 22, 2015.

"Closing America's Innovation Deficit," The National Interest, April 28, 2014.

"Manufacturing a Recovery," New York Times, August 29, 2011.

"Riding the Innovation Wave," Boston Globe, March 14, 2011, coauthored with Drew Faust.

"For Future Prosperity, Sow Seeds Now," Boston Globe, August 14, 2010, coauthored with Paul E. Jacobs.

"Immigrant Scientists Create Jobs and Win Nobels," Wall Street Journal, October 20, 2009.

"The Next Innovation Revolution," Science, February 27, 2009.

"The Next Step in Stimulus: Long-Term Economic Growth," Boston Globe, February 13, 2009.

"Reimagining Energy," Washington Post, September 11, 2008.

"Gaining Ground on Cancer," Boston Globe, April 28, 2008.

"Numbers That Can Change the World," Boston Globe, April 14, 2008.

"MIT's Burgeoning Role in the Green Movement," Boston Globe, April 7, 2008.

"Investing in the Nation's Future," Boston Globe, March 31, 2008.

"A Model for Tackling the Energy Challenge," Boston Globe, December 13, 2006.

"The Innovation Equation," Boston Globe, October 12, 2006.

"Women in Math, Engineering, and Science: Drawing on Our Country's Entire Talent Pool," *Boston Globe*, February 12, 2005, coauthored with John Hennessy and Shirley Tilghman.

#### **Original Articles**

- 1. Hockfield, S. and S. Gobel. Neurons in and near nucleus caudalis with long ascending projection axons demonstrated by retrograde labeling with horseradish peroxidase. *Brain Research* **139**: 333-339 (1978).
- 2. Hockfield, S. and S. Gobel. An anatomical demonstration of projections to the medullary dorsal horn (Trigeminal Nucleus Caudalis) from rostral trigeminal nuclei and the contralateral caudal medulla. *Brain Research* **252**: 203-211 (1982).
- 3. McKay, R. and S. Hockfield. Monoclonal antibodies distinguish antigenically discrete neuronal types in the vertebrate central nervous system. *Proc. Natl. Acad. Sci. U.S.A.* **79**: 6747-6751 (1982).
- Hockfield, S. and R. McKay. Monoclonal antibodies demonstrate the organization of axons in the leech. J. Neurosci. 3: 369-375 (1983).
- 5. Hockfield, S. and R. McKay. A surface antigen expressed by a subset of neurons in the vertebrate central nervous system. *Proc. Natl. Acad. Sci. U.S.A.* **80**: 5758-5761 (1983).
- Hockfield, S., R. McKay, S. Hendry, and E. G. Jones. A surface antigen that identifies ocular dominance columns in cortical area 17 and laminar features of the lateral geniculate nucleus. Cold Spring Harbor Symp. *Quant. Biol.* 48: 877-889 (1983).
- 7. McKay, R., S. Hockfield, and J. Johansen. The organization of molecularly distinct axons in the leech connective. Cold Spring Harbor Symp. *Quant. Biol.* **48**: 599-610 (1983).
- 8. McKay, R., S. Hockfield, J. Johansen, I. Thompson, and K. Frederiksen. Surface molecules identify groups of growing axons. *Science* **222**: 788-794 (1983).
- 9. Hendry, S., S. Hockfield, E. G. Jones, and R. McKay. A monoclonal antibody that identifies subsets of neurons in the central visual system of the monkey and cat. *Nature* **307**: 267-269 (1984).
- 10. Johansen, J., S. Hockfield, and R. McKay. Distribution and morphology of nociceptive cells in the central nervous system of the leech. J. Comp. Neurol. 226: 255-262 (1984).
- 11. Johansen, J., S. Hockfield, and R. McKay. Axonal projections of mechanosensory neurons in the connective and peripheral nerves of the leech. *J. Comp. Neurol.* **226**: 263-273 (1984).
- 12. McKay, R., J. Johansen, and S. Hockfield. Monoclonal antibody identifies a 63,000 dalton antigen found in all central neuronal cell bodies but in only a subset of axons in the leech. J. Comp. Neurol. 226: 448-455 (1984).
- Hockfield, S. and R. McKay. Identification of major cell classes in the developing mammalian nervous system. J. Neurosci. 5: 3310-3329 (1985).
- 14. Hockfield, S. A novel cerebellar neuron identified by a monoclonal antibody generated with immunosuppressive and rapid immunization strategies. *Science* **237**: 67-70 (1987).
- 15. Sur, M., D. Frost, and S. Hockfield. Expression of a cell surface antigen on Y-cells in the cat lateral geniculate nucleus is regulated by visual experience. *J. Neurosci.* 8: 874-882 (1988).
- Hishinuma, A., S. Hockfield, R. McKay, and J. Hildebrand. Monoclonal antibodies reveal cell type-specific antigens in the sexually dimorphic olfactory system of *Manduca sexta*. 1. Generation of monoclonal antibodies and partial characterization of the antigens. *J. Neurosci.* 8: 296-307 (1988).
- Hishinuma, A., S. Hockfield, R. McKay, and J. Hildebrand. Monoclonal antibodies reveal cell type-specific antigens in the sexually dimorphic olfactory system of *Manduca sexta*. 2. Expression of antigens during postembryonic development. J. Neurosci. 8: 308-315 (1988).

- 18. Hendry, S., E. G. Jones, S. Hockfield, and R. McKay. Neuronal populations stained with the monoclonal antibody, Cat-301, in the mammalian cerebral cortex and thalamus. *J. Neurosci.* 8: 518-542 (1988).
- Kalb, R. and S. Hockfield. Molecular evidence for early activity-dependent development of hamster motor neurons. J. Neurosci. 8: 2350-2360 (1988).
- 20. Zaremba, S., A. Guimaraes, R. G. Kalb, and S. Hockfield. Characterization of an activity-dependent, neuronal surface proteoglycan identified with monoclonal antibody Cat-301. *Neuron* **2**: 1207-1219 (1989).
- 21. Friedman, B., J. A. Black, S. Hockfield, S. G. Waxman, and B. R. Ransom. Antigenic abnormalities in fiber tract astrocytes of myelin-deficient rats: an immunocytochemical study in the olfactory cortex. *Dev. Neurosci.* 11, 99-111 (1989).
- 22. McGuire, P. K., S. Hockfield, and P. Goldman-Rakic. The distribution of Cat-301 immunoreactivity in the frontal and parietal lobes of the macaque monkey. *J. Comp. Neurol.* **288**, 280-296 (1989).
- 23. Geschwind, D. H. and S. Hockfield. Identification of proteins that are developmentally regulated during early cerebral corticogenesis in the rat. J. Neurosci. 9: 4303-4317 (1989).
- 24. Friedman, B., J. Black, K. Woodruff, S. Hockfield, and S. Waxman. Monoclonal antibody RIP produces Golgilike staining of mature oligodendrocytes *in situ. Glia* **2**: 380-390 (1989).
- 25. Mize, R. R. and S. Hockfield. Cat-301 antibody selectively labels neurons in the Y-innervated laminae of the cat superior colliculus. *Visual Neurosci.* **3**: 433-443 (1989).
- 26. Kalb, R. and S. Hockfield. Large diameter primary afferent input is required for developmental expression of a proteoglycan on the surface of motor neurons. *Neuroscience* **34**: 391-401 (1990).
- 27. Hockfield, S., R. Tootell, and S. Zaremba. Molecular differences among neurons reveal an organization of human visual cortex. *Proc. Natl. Acad. Sci.* 87:3027-3031 (1990).
- 28. Friedman, B., S. Zaremba, and S. Hockfield. Monoclonal antibody Rat-401 recognizes Schwann cells in mature and developing peripheral nerve. J. Comp. Neurol. 295: 43-51 (1990).
- 29. DeYoe, E. A., S. Hockfield, H. Garren, and D. Van Essen. Antibody labeling of functional subdivisions in visual cortex: Cat-301 in V1, V2, V3 and MT of the Macaque monkey. *Visual Neurosci.* 5: 67-81 (1990).
- Sahin, M. and S. Hockfield. Molecular identification of the Lugaro cell in the cat cerebellar cortex. J. Comp. Neurol. 301: 575-584 (1990).
- 31. Zaremba, S., J. R. Naegele, C. J. Barnstable, and S. Hockfield. Monoclonal antibodies Cat-301 and VC1.1 define different high molecular weight glycoconjugates. *J. Neurosci.* **10**: 2985-2995 (1990).
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- Hockfield, S. and M. Sur. Monoclonal antibody Cat-301 identifies Y-cells in the dorsal lateral geniculate nucleus of the cat. J. Comp. Neurology 300: 320-330 (1990).
- 34. Kalb, R. G. and S. Hockfield. Induction of a neuronal proteoglycan by the NMDA receptor in the developing spinal cord. *Science* **250**: 294-296 (1990).
- Hockfield, S., S. Zaremba, R. G. Kalb, and H. Fryer. Expression of neural proteoglycans correlates with the acquisition of mature neuronal properties in the mammalian brain. Cold Spring Harbor Sympo. *Quant. Biol.* 55: 505-514 (1990).
- Marshall, J., K. A. Martin, M. Picciotto, S. Hockfield, A. C. Nairn, and L. K. Kaczmarek. Identification and localization of a dogfish homologue of human cystic fibrosis transmembrane conductance regulator. *J. Biol. Chem.* 266: 22749-22754 (1991).

- Fryer, H. J. L., G. M. Kelly, L. Molinaro, and S. Hockfield. The high molecular weight Cat-301 chondroitin sulfate proteoglycan from brain is related to the large aggregating proteoglycan from cartilage, aggrecan. J. Biol. Chem. 267: 9874–9883 (1992).
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- 41. Kalb, R. G. and S. Hockfield. Activity-dependent development of spinal cord motor neurons. *Brain Res.* Reviews, **17**: 283-289 (1992).
- 42. Martin, K. A. and S. Hockfield. Expression of the mas proto-oncogene in the rat hippocampal formation is regulated by neuronal activity. *Mol. Brain Res.* **19**: 303-309 (1993).
- Sahin, M. and S. Hockfield. Identification of putative protein tyrosine phosphatases in developing rat brain. J. Neurosci. 13: 4968-4978 (1993).
- 44. Kind, P., C. Blakemore, H. Fryer, and S. Hockfield. Identification of proteins down-regulated during the postnatal development of the cat visual cortex. *Cerebral Cortex* 4: 361-375 (1994).
- 45. Kalb, R. G. and S. Hockfield. Electrical activity in the neuromuscular unit can influence the molecular development of motor neurons. *Developmental Biology* **162**: 539-548 (1994).
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- 48. Minturn, J., D. Geschwind, H. J. L. Fryer, and S. Hockfield. Early postmitotic neurons transiently express TOAD-64, a neural specific, membrane associated protein. *J. Comp. Neurol.* **355**: 369-379 (1995).
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- 52. Sahin, M., S. A. Slaugenhaup, J. F. Gusella, and S. Hockfield. A protein tyrosine phosphatase, PTPH1, is expressed in a segment-specific pattern in the rat diencephalon. *Proc. Natl. Acad. Sci.* **92**: 7859-7863 (1995).
- 53. Minturn, J., H. J. L. Fryer, D. Geschwind, and S. Hockfield. TOAD-64, a gene expressed early in neuronal differentiation in the rat, is related to *unc-33*, a *C. elegans* gene involved in axon outgrowth. *J. Neurosci.* **15**: 6757-6766 (1995).
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- Jaworski, D. M., G. M. Kelly, J. M. Piepmeier, and S. Hockfield. BEHAB (Brain Enriched Hyaluronan Binding) is expressed in surgical samples of glioma and in intracranial grafts of invasive glioma cell lines. *Cancer Research* 56: 2293-2298 (1996).
- 56. Geschwind, D. H., G. Kelly, H. J. L. Fryer, and S. Hockfield. Identification and characterization of novel developmentally regulated proteins in rat spinal cord. *Dev. Brain Research* 97: 62-70 (1996).

- 57. Kind, P. K., G. Kelly, H. J. L. Fryer, C. Blakemore, and S. Hockfield. PLC-β1 is present in the botrysome, an intermediate compartment-like organelle, during periods of developmental plasticity in cat visual cortex. J. Neurosci. 17: 1471-1480 (1997).
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- Maleski, M. and S. Hockfield. Glial cells assemble hyaluronan-based pericellular matrices *in vitro*. *Glia* 20: 193-202 (1997).
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- 62. Lander, C., H. Zhang, and S. Hockfield. Neurons produce a neuronal cell-surface associated chondroitin sulfate proteoglycan. *J. Neurosci.* 18: 174-183 (1998).
- 63. Zhang, H., G. Kelly, C. Zerillo, D. M. Jaworski, and S. Hockfield. Expression of a cleaved, brain specific, extracellular matrix protein mediates glioma cell invasion in vivo. *J. Neurosci.* **18**: 2370-2376 (1998).
- 64. Jaworski, D. M., G. Kelly, and S. Hockfield. Intracranial injury acutely induces the expression of the secreted isoform of the CNS-specific hyaluronan-binding protein BEHAB/Brevican. *Experimental Neurology* **157**: 327-337 (1999).
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- Quinn, C. Q., E. Chen, T. G. Kinjo, G. Kelly, A. W. Bell, P. S. McPherson, and S. Hockfield. TUC-4b, a novel TUC family variant, regulates neurite outgrowth and associates with vesicle in the growth cone. *J. Neurosci.* 23: 2815-2823 (2003).
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- 73. Dino, M. R., S. Harroch, S. Hockfield, and R. T. Matthews. Monoclonal antibody Cat-315 detects a glycoform of receptor protein tyrosine phosphatase beta/phosphacan early in CNS development that localizes to extrasynaptic sites prior to synapse formation. *Neuroscience* **142**: 1055-69 (2006).

#### **Reviews and Chapters**

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- 2. Gobel, S. and S. Hockfield. An anatomical analysis of the synaptic circuitry of layers I, II, and II of trigeminal nucleus caudalis in the cat. In: *Pain in the Trigeminal Region*. Anderson and Matthews (eds.). Elsevier-North Holland, Amsterdam-New York, 203-211 (1977).
- Gobel, S., S. Hockfield, and M. A. Ruda. Anatomical similarities between medullary and spinal dorsal neurons. In: *Oral-Facial Sensory Motor Functions*. Y. Kawamura and R. Dubner (eds.). Quintessence, Tokyo, 211-223 (1981).
- 4. Hockfield, S., B. Zipser, and R. McKay. Light and electron microscope localization of monoclonal antibodies to leech neural tissue. In: *New Approaches in Development Neurobiology*. Society for Neuroscience, 25-33 (1981).
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- 9. Hockfield, S. Neuronal carbohydrates. Science 247: 733 (1990).
- 10. Hockfield, S. Proteoglycans in neural development. *Seminars in Developmental Biology*, Saunders. Volume 1, 55-63 (1990).
- 11. Hockfield, S. Molecular correlates of activity-dependent development and synaptic plasticity. In: *Synaptic Plasticity*, M. Baudry, et al. (eds.), MIT Press, Boston (1993).
- Hockfield, S. and R. Kalb. Activity-dependent structural changes during neuronal development. *Current Opinions in Neurobiology* 3: 87-92 (1993).
- 13. Hockfield, S. Visual cortical dysfunction. Neurology 43: 453-454 (1993).
- Carlson, S. S. and S. Hockfield. Proteoglycans in the CNS extracellular matrix. In: *Extracellular Matrix*. W. D. Comper (ed.). Harwood, Victoria, Australia (1995).
- Sahin, M. and S. Hockfield. Receptor tyrosine phosphatases in CNS development. In: Receptor Dynamics in Neural Development. C. Shaw (ed.). CRC Press, 119-138 (1996).
- 16. Fryer, H. J. L. and S. Hockfield. The role of polysialic acid and other carbohydrate polymers in neural structural plasticity. *Current Opinions in Neurobiology* 6: 113-118 (1996).
- 17. Jaworski, D. M., G. Kelly, and S. Hockfield. The CNS-specific hyaluronan binding protein, BEHAB, is expressed during periods of glial cell generation and motility. *Seminars in the Neurosciences* 8: 391-396 (1996).
- 18. Lander, C. and S. Hockfield. The extracellular matrix of the peripheral and central nervous systems. In: *Encyclopedia of Neuroscience.* G. Adelman and B. Smith (eds.). Elsevier, 697-702 (1999).
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